

Decision of the Disciplinary Committee

Defendant's Name : Undisclosed
Date of Hearing : Tuesday, 29 August 2023

On 29 August 2023, the Disciplinary Committee of the Asian Institute of Chartered Bankers (AICB) proved the following allegations against an examination candidate of AICB.

Allegations

On 13 March 2023, a candidate of the Investor Protection Professional Certification (IPPC) online examination:

1. Distributed a photograph of the IPPC examination question to her friend(s) via WhatsApp, which is strictly prohibited, according to the AICB Membership and Qualification Regulations, clause 7.3.2 (xxi). The photograph distributed contained the image and unique exam code of the candidate.
2. Used a mobile phone to take a photograph of the examination questions displayed on the computer screen during the IPPC online examination, which is strictly prohibited, according to the AICB Membership and Qualification Regulations, clause 7.3.2 (xiv).
3. Accessed unauthorised materials (mobile phone) while the examination was in progress, which is strictly prohibited, according to the AICB Membership and Qualification Regulations, clause 7.8.3

The candidate attended the Disciplinary Committee's hearing.

Sanctions

The Disciplinary Committee considered the sanctions in accordance with AICB Bye-Law 58 and ordered the following:

- a. That the candidate be reprimanded;
- b. That the candidate be declared ineligible to sit for an IPPC examination for a period of 12 months from the effective date of the order;
- c. That the result of the IPPC examination taken on 11 March 2023 be declared void; and
- d. That the candidate is to attend an Ethics-related programme determined by AICB prior to re-admission for the IPPC examination as part of the rehabilitation process and to uphold the highest standards of professional conduct.



The Disciplinary Committee agreed to publish the decision in accordance with AICB Bye-Law 68 and requested that the Member's name be withheld.

The order would come into effect upon expiry of the appeal period. The candidate did not appeal the decision of the Disciplinary Committee within the appeal period referred to in the Disciplinary Regulations.